

Index	Tag	Value	System Default
1	1 16R	GENL	
2	2 38C	SEMEIPG0130FD34001	
3	3 230	NEWMA	
4	4 38C	PPREP/20140514013407	
5	5 16R	LINK	
6	6 13A	UN00/541	
7	7 20C	RELATE/100002048201	
8	8 16S	LINK	
9	9 16S	GENL	
10	10 16R	TRADEF	
11	11 38A	TRAD/20140208	
12	12 38A	ESET/623140514	
13	13 33B	ISIN 000000-0000	
14	14 900	COLPLAST N	
15	15 16S	TRADEF	
16	16 16R	PIAC	
17	17 30B	ESTT/1UNIT/700000	
18	18 37A	SAF/4132900010000778983F	
19	19 37A	CASH/4132900010000778983F	
20	20 94F	SAFS/CUST/NBEAD0000XX	
21	21 16S	PIAC	
22	22 16R	SEYDET	
23	23 22F	SETRU/TRA8	
24	24 16R	SETPRTY	
25	25 95F	0EAD/TESS/20K10XX	
26	26 16S	SETPRTY	
27	27 16R	SE1PRTY	
28	28 26P	SELL/IRVTBEBBXXXX	
29	29 37A	SAF/428286114373	
30	30 16S	SETPRTY	
31	31 16R	SETPRTY	
32	32 95P	IPSEY/VPDKBKKXXXX	
33	33 16S	SETPRTY	
34	34 16R	AMT	
35	35 19A	ESTT/DK0355875447,5	
36	36 38A	VALU/20140514	
37	37 16S	AMT	
38	38 16S	CONFIDENTIAL	ED&F-00045500

Details (38)		
Show Details		System Deta
Index	Tag	Value
1	16A	GENL
2	20C	SEME/PG513DFAB403
3	23G	NEWM
4	98C	FRSP/20140514013425
5	16R	UNK
6	12A	LINK/641
7	20C	RELA/E100030690401
8	16S	UNC
9	9 16S	GENL
10	16R	TRADDET
11	97A	TRAD/20140505
12	98A	FSET/20140514
13	35B	ISIN DK000448595
14	000	COLOPLAST B
15	16S	TRADDET
16	16R	FINC
17	26B	ESTT/UNIT/550000
18	97A	SAFE/41329000010000778823F
19	97A	CASH/41329000010000724796K
20	94F	SAFE/CUST/NOEADK90IXX
21	16S	FIAC
22	16R	SETDET
23	22F	SETR/TRAQ
24	16R	SETPRTY
25	98P	DEAPOSED6000X
26	16S	SETPRTY
27	16R	SETPRTY
28	95P	SELL/IRVTBEBE100
29	97A	SAFE/35295114373
30	16S	SETPRTY
31	16R	SETPRTY
32	88P	PSET/VPDKDK6000X
33	16S	SETPRTY
34	16R	ANT
35	15A	ESTT/OKC269752615
36	98A	VALU/21642214
37	16S	ANT
38	16S	<del>CONFIDENTIAL</del> ED&F-00045501

## Account Equity

ED&F Man Capital Markets Ltd  
3 London Bridge Street  
London SE1 9SG  
United Kingdom

Account Number: CC:RIVERS-ASSOC  
Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
Date : 09-05-2014  
Currency : USD

## Cash Summary

Cur Layer	Trade Date	Amount	Conv Rate	TD Reporting Amt (USD)	Settle Date	Amount	Conv Rate	SD Reporting Amt (USD)
DKK Cash	1,423,591,010.78 Dr		0.18433180	162,413,093.48 Dr	1,020,600,970.28 Dr		0.18433180	188,129,213.93 Dr
EUR Cash	148,434,849.22 Dr		1.37580000	204,216,665.56 Dr	148,434,849.22 Dr		1.37580000	204,216,665.56 Dr
EUR SEG CASH A	26,719.00 Cr		1.37580000	36,760.00 Cr	26,719.00 Cr		1.37580000	36,760.00 Cr
USD Cash	143,655,471.00 Dr		1.00000000	143,655,471.00 Dr	143,655,471.00 Dr		1.00000000	143,655,471.00 Dr
USD SEG CASH A	146,095.65 Cr		1.00000000	146,095.65 Cr	146,095.65 Cr		1.00000000	146,095.65 Cr
				610,102,374.39 Dr				835,818,494.84 Dr

## Trade Date Positions (Equity) (DKK)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
COLOR DC	COLOPLAST-B	C	850,000.00 Lg	474.1059	476.2000	402,990,040.50 Dr	404,770,000.00 Cr	1,779,959.50 Cr DKK
DANSKE DC	DANSKE BANK A/S	C	2,000,000.00 Lg	144.2149	152.9000	284,629,840.00 Dr	305,800,000.00 Cr	17,370,160.00 Cr DKK
DNORD DC	D/S NORDEN	C	322,500.00 Lg	223.4078	204.9000	72,049,012.28 Dr	67,370,250.00 Cr	4,678,762.28 Dr DKK
NOVOB DC	NOVO NORDISK A/S-B	C	2,000,000.00 Lg	246.7031	234.5000	493,406,160.00 Dr	469,000,000.00 Cr	24,406,160.00 Dr DKK
TDC DC	TDC A/S	C	2,150,000.00 Lg	52.4007	52.1500	112,661,419.00 Dr	112,122,500.00 Cr	538,919.00 Dr DKK
TRYG DC	TRYG A/S	C	175,000.00 Lg	555.0069	504.0000	97,126,214.50 Dr	88,200,000.00 Cr	8,926,214.50 Dr DKK
				Totals:	1,466,662,686.28 Dr	1,447,262,750.00 Cr		19,399,936.28 Dr

## Trade Date Positions (Equity) (EUR)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
AGEAS BB	AGEAS	C	1,200,000.00 Lg	30.9904	30.5500	37,188,480.00 Dr	36,660,000.00 Cr	528,480.00 Dr EUR
UCB BB	UCB SA	C	1,870,000.00 Lg	59.4800	58.8500	111,227,600.00 Dr	110,049,500.00 Cr	1,178,100.00 Dr EUR
				Totals:	148,416,080.00 Dr	146,709,500.00 Cr		1,706,580.00 Dr

## Trade Date Positions (Equity) (USD)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
UN US	UNILEVER N V -NY SHARES	C	3,644,600.00 Lg	42.2374	42.6700	153,938,309.64 Dr	155,515,082.00 Cr	1,576,772.36 Cr USD
				Totals:	153,938,309.64 Dr	155,515,082.00 Cr		1,576,772.36 Cr

## Trade Date Positions (OTC-OPTIONS) (EUR)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
SIE GY	STIEMENS AG- REG	C	774.00 Sh	95.8038		74,152.14 Cr	0.00 Cr	0.00 Cr EUR
				Totals:	74,152.14 Cr	0.00 Cr		0.00 Cr

## Account Equity

ED&F Man Capital Markets Ltd  
3 London Bridge Street  
London SE1 9SG  
United Kingdom

Account Number: CC:RIVERS-ASSOC  
Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
Date : 09-05-2014  
Currency : USD

## Pending Trades (DKK)

Trade Dt	Settl Dt	Type	Trn	Quantity	Asset	Trd Price	Proceeds	Cur
09-05-14	14-05-14	Normal	BUY	750,000.00	COLOB DC	474.1059	355,579,447.50	DKK Dr
09-05-14	14-05-14	Normal	BUY	100,000.00	COLOB DC	474.1059	47,410,593.00	DKK Dr

## Equity Swaps

Tick	Seq	Trade Dt	Term Dt	Asset Description	Quantity	D	Open Price	Notional	Cur	Market Price	MTM	Next Valuation	Rate Description	Rate	Accrued Financing
		Set Dt													
280118		09-05-14	25-07-14	COLOB DC	850,000	S	472.4000	401,540,000	DKK	476.2000	-3,230,000	14-05-14	Fixed	0.0000	0.00
		14-05-14		COLOPLAST-B											
256432		24-04-14	24-07-14	DNORD DC	322,500	S	225.4248	72,699,498	DKK	208.9000	5,329,248		Fixed	0.0000	0.00
		29-04-14		D/S NORDEN											
246968		14-04-14	23-12-14	TDC DC	2,150,000	S	49.4300	106,274,500	DKK	52.1500	-5,848,000		Fixed	0.0000	0.00
		16-04-14		TDC A/S											
246841		14-04-14	23-12-14	NOVOB DC	2,000,000	S	227.8000	455,600,000	DKK	234.5000	-13,400,000		Fixed	0.0000	0.00
		16-04-14		NOVO NORDISK A/S-B											
246927		14-04-14	23-12-14	DANSKE DC	2,000,000	S	168.1000	296,200,000	DKK	152.9000	-9,600,000		Fixed	0.0000	0.00
		16-04-14		DANSKE BANK A/S											
246995		14-04-14	23-12-14	TRYG DC	175,000	S	506.5000	88,637,500	DKK	504.0000	437,500		Fixed	0.0000	0.00
		16-04-14		TRYG A/S											
275626		05-05-14	25-07-14	AGS BB	1,200,000	S	30.2000	36,240,000	EUR	30.5500	-420,000	26-05-14	Fixed	0.0000	0.00
		08-05-14		AGEAS											
270949		29-04-14	24-07-14	UCB BB	1,870,000	S	59.3450	110,975,150	EUR	58.8500	925,650		Fixed	0.0000	0.00
		05-05-14		UCB SA											
247400		15-04-14	20-05-14	SIE GY	774	L	95.8038	74,152	EUR	96.6700	670		Fixed	0.0000	0.00
		15-04-14		SIEMENS AG- REG											
203930		27-12-13	19-12-14	UN US	1,444,600	S	39.4600	57,003,916	USD	42.6700	-4,637,166	19-05-14	Fixed	0.0000	0.00
		27-12-13		UNILEVER N V	-NY SHARES										
203931		27-12-13	19-12-14	UN US	2,200,000	S	39.4600	36,812,000	USD	42.6700	-7,062,000	19-05-14	Fixed	0.0000	0.00
		27-12-13		UNILEVER N V	-NY SHARES										

## Account Equity

ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:RIVERS-ASSOC  
 Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
 Date : 09-05-2014  
 Currency : USD

## Account Summary

Item	Amount (USD)
Value of opening currency balance	534,504,425.09 Dr
Value of trades settling today	1,001.34 Dr
Value of Rec/Del today	0.00 Cr
Value of Deposits/Withdrawals today	1,312,995.42 Dr
<b>Value of closing currency balance(s)</b>	<b>535,818,494.84 Dr</b>
Cash Collateral Pending Settlement	0.00 Cr
Financed Cash Pending Settlement	0.00 Cr
NonFX Proceeds Pending Settlement	74,283,879.55 Dr
<b>Trade Date Cash Balance</b>	<b>610,102,374.39 Dr</b>
Market Value of Positions	624,134,559.89 Cr
Mkt Val of Unsettled Fin Positions	0.00 Cr
Mkt Val of Settled Fin Positions	0.00 Cr
Mkt Val of Unsettled Fin Collateral	0.00 Cr
Mkt Val of Settled Fin Collateral	0.00 Cr
Net Value of Financing Interest	0.00 Cr
Open Trade Equity on FX Deals	0.00 Cr
Accrued Interest on Fixed Income	0.00 Cr
Value of Open Swap Positions	15,852,570.76 Dr
<b>Preliminary Account Value</b>	<b>1,820,385.28 Dr</b>

## Margin Summary

Margin Req (Reg-T: Initial)	0.00 Cr
Margin Req (Reg-T: Maintenance)	0.00 Cr
Margin Req (Future Initial)	0.00 Cr
Margin Req (FOREX)	0.00 Cr
Margin Req (Minimum Equity)	0.00 Cr
<b>Margin Req (Total)</b>	<b>0.00 Cr</b>

**Total Account Value** 1,820,385.28 Dr

## Account Equity

ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:RIVERS-ASSOC  
 Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
 Date : 09-05-2014  
 Currency : USD

## Financing Summary

Unsettled Value of collateral at close	0.00 Cr
Unsettled Financing Valuation	0.00 Cr
<b>Unsettled Financing Excess at close</b>	<b>0.00</b>
Settled Value of collateral at close	0.00 Cr
Settled Financing Valuation	0.00 Cr
<b>Settled Financing Excess at close</b>	<b>0.00</b>
<b>Total Financing Excess at close</b>	<b>0.00</b>

## Missing Market Prices

Asset	Cur Product	Description
SIE.GY	EUR OTC-OP	SIEMENS AG- REG

Coloplast A/S

COLOB DC

ISIN: DK0050448595

SEDOL: B8FMRX8

COUNTRY: DK

EX DATE: 09/05/2014

REC DATE: 13/05/2014

PAY DATE: 14/05/2014

CCY: DKK

GROSS RATE: 4.00

FEE: 0.00

## AGENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIMS	NOTES
CC:CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	2,850,000		8,322,000.00			
CC:DW0000-CONST	DW CONSTRUCTION INC RETIREMENT PLAN	73%	1,300,000		3,796,000.00			
CC:FEDLOG-FEDLO	FEDERATED LOGISTICS 401(K) PLAN	73%	1,300,000		3,796,000.00			
CC:HAMLYN-LP00	HAMLYN LP	73%	3,000,000		8,760,000.00			
CC:KAMCOO-INVES	KAMCO INVESTMENTS INC PENSION PLAN	73%	1,300,000		3,796,000.00			
CC:LINDEN-ADBL	Linden Associates Defined Benefit Plan	73%	850,000		2,482,000.00			
CC:RIVERS-ASSOC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	73%	850,000		2,482,000.00			
CC:TVETER-TVETE	Tveter LLC Pension Plan	73%	115,000		335,800.00			
SB:SUNRIS-BRKRS	Sunrise Brokers LLP	73%		-11,565,000		-33,769,800.00		
CC:EDFOLB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-11,565,000		-33,769,800.00		
SB:SUNRIS-BRKRS	Sunrise Brokers LLP	73%	11,565,000		33,769,800.00			
		TOTALS	23,130,000	-23,130,000	TOTALS	67,539,600.00	-67,539,600.00	

D1 - 290273

## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	DIV %	LONG (HLDNG)	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIMS	NET STX LOAN AMT	PAYOUT ID	PAYOUT DATE
CC:BLUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	55,100		220,400.00					
CCC:CLUBXBE-00000	Cubia Managers Limited Partnerships	100%	500,000		2,000,000.00					
CC:POLLEN-FUNDO	POLLEN FUND LIMITED	100%	15,216,000		60,864,000.00					
CC:TEWLLC-TEW00	Tew Enterprises LLC Retirement Plan	100%	96,500		386,000.00					
CC:AUTOPA-AUTOP	Autoparts Pensions Group Trust	100%		-3,622,900			-14,491,600.00			
CC:BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%		-3,640,200			-14,560,800.00			
CC:CASTPE-GRPTR	Casting Pensions Group Trust	100%		-254,000			-1,016,000.00			
CC:CTECHD-00000	Central Technologies Pensions Group Trust	100%		-342,800			-1,371,200.00			
CC:CLUBXBE-BLOAN	Cubia Managers Limited Partnerships - BLOAN	100%		-500,000			-2,000,000.00			
CC:INDUST-PENGP	Industrial Pension Group Trust	100%		-3,682,800			-14,731,200.00			
CC:SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	100%		-332,700			-1,330,800.00			
CC:TEWLPO-TEWLP	Tew LP Retirement Plan	100%		-3,492,200			-13,968,800.00			
		SL TOTALS	15,867,500	-15,867,500	TOTALS	63,470,400.00	-63,470,400.00			

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEIVABLE
CC:APEXCP-BLOAN	Apex Capital Limited - BLOAN	73%	500,000		1,450,000.00	

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ED&amp;F-00045506

CC-APEX00-CPLTD	Apex Capital Limited	73%	-500,000	-1,460,000.00
		SWP TOTALS	500,000	-500,000
			TOTALS	1,460,000.00
PREPARED:		DESK SIGN OFF:	OPS MANAGER SIGN OFF:	
AGENT CASH RECEIVED	N/A	CLAIMS PAID	N/A	D1 JOURNAL POSTED
				JOURNAL NUMBERS UPDATED
				DATE: 18/05/14
				FILE CLOSED CLOSER:

*[Handwritten signatures and initials are present over the signature line and across the bottom of the form.]*

ShadowSuite 9.0.1 - User[MMEADE] Company [E1] Trade Date[15-10-2018] Server[tcp://172.20.20.38:5050] Port[VM\$REDPROMT1] Zone[GREEN] - [General Journal Detail]

 File Configuration Account Master Treasury Settlement Trading General Ledger Positions Price Operations Report Window Help

Journal ID 298253 Retrieve By: Journal ▾ Origin System ▾

**Journal Header**

Journal ID 298253	As Of Date 15 05 2014	Entry Date 15 05 2014	As of Post Date 15 05 2014	Post Date 15 05 2014	Type User Adjustment	Source ONC	Origin Import
				Reverse ID (None)	Status Posted		
				Reversal Type Never	Reverse Status Not Applicable		
				Ancestor ID	Reverse Date EDT		

**Description**  
CASH DIV- CBL08 BC - P 14/05/14

**External ID**

**Ancestor External ID**

**Buttons**

New Journal Copy Journal Delete Journal Post Unpost See Rev Info Del Reversal

**Journal Detail Lines** | **Audit Trail Information** | **Authorization**

**Detail Lines (2)**

Show Groups

Seq	Asset	Country	Cur	Amount	Account ID	Account Name	Layer	Invl Type	Cost
1	DKK	(None)	DKK	2,482,000.0000	Dr CO:CORPAC-CORPA	CORPORATE ACTIONS CONTROL AC	Cash	Unclassified Inventory Type	
2	DKK	(None)	DKK	2,482,000.0000	Cr CC:RIVERS-ASS	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	Cash	Unclassified Inventory Type	



ED &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Tvester LLC Pension Plan - 123 Colonial Road, New Canaan, Connecticut, 06840, USA, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	09/05/2014
Record Date:	13/05/2014
Pay Date:	14/05/2014
Quantity:	115,000.00
Gross Div Rate:	4
Amount Received:	335,800.00
WHT Suffered:	124,200.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Tvester LLC Pension Plan. If you have any further concerns or issues please do not hesitate to contact us.

## AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations

## Message

**From:** Bottomley, Oliver (LDN) [obottomley@edfmancapital.com]  
**Sent:** 12/05/2014 13:08:11  
**To:** Mina, Sara (LDN) [smina@edfmancapital.com]; LDN-EQUITYFINANCE-TRADS-DL [LDN-EQUITYFINANCE-TRADS-DL@edfmancapital.com]  
**BCC:** fireland@edfmancapital.com; mhoward@edfmancapital.com; vfoster@edfmancapital.com; obottomley@edfmancapital.com; cscanlan@edfmancapital.com  
**Subject:** RE: COLOB DC Rehyp  
**Attachments:** Oli To Confirm;; Fwd:BClear Coloplast A/S Trade, Ref: ME007201 Confirmation of trade with Link brokers; Confirm 3,300,000 COLOB DC T+3; 33,000 DFD Confirm - ED&F MCM; Hello Vic.; 09052014COLOBDC(MCM3).pdf; Fwd:Confirm

Hi Sara,

All re hypothecated as attached, futures rolled out for SWAPS.

Thanks,  
Oliver

---

**From:** Mina, Sara (LDN)  
**Sent:** 09 May 2014 09:57  
**To:** LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** COLOB DC Rehyp

Guys, funding is looking a bit tight so could you please try to rehyp colob dc for me today?

Thanks

Sara Mina  
Equity Finance Trader

ED&F Man Capital Markets Limited  
Cottons Centre, Hay's Lane, London, SE1 2QE  
Direct: +44 (0)203 580 7629 | Desk: +44 (0)203 580 7625 | Mobile: +44 (0)7810867085  
[smina@edfmancapital.com](mailto:smina@edfmancapital.com) | [www.edfman.com](http://www.edfman.com)



## Volcafe Cash Equity Confirmation

Trade Date	09-May	Trade Time
Value Date	14-May	16:38:01
ED&F Man Capital Markets	SELLS	C.E.T
Trader	VICTORIA FOSTER	
Stock	COLOPLAST-B	
	COLOB DC Equity	
ISIN	DK0060448595	
Number Shares	2,500,000	
Splits	5 x 500,000	
CCY	DKK	
Gross Price	472.40000	
Notional	1,181,000,000.00	
Net Price	472.40000	
Net Notional	1,181,000,000.00	
Invoice at month End	NA	

Additional information: Clearing BNP #1

Volcafe Clearing ICBC

Best regards,

Richard Goodwin.

Message

**From:** Oliver Bottomley (E D & F MAN CAPITAL) [obottomley2@bloomberg.net]  
**Sent:** 12/05/2014 13:07:17  
**Subject:** Fwd:Confirm

--- Original Sender: VICTORIA FOSTER, E D & F MAN CAPITAL ---

----- Original Message -----

From: VICTORIA FOSTER (E D & F MAN CAPITAL)  
To: OLIVER BOTTOMLEY (E D & F MAN CAPITAL)  
At: May 12 2014 14:04:28

----- Original Message -----

From: ISABEL HAGAN (LINK ASSET & SECURIT)  
At: May 9 2014 16:29:02

Hi Vicky

To confirm ED&F MAN (BNP Acct 1) SELLS the following today T+3:

1,800,000 COLOB DC (DK0060448595) @ DKK 472.40

SHAPES: 4 x 450,000

Trade Date: 09-May  
Value Date: 14-May

Thanks, Isabel

This electronic mail message was sent by ED&F Man Capital Markets Limited ("MCM"). This information is intended solely for the personal and confidential use of the designated recipient named therein and therefore may not be redistributed, retransmitted or disclosed, in whole or in part, or in any form or manner, without the written consent of MCM and any unauthorized use or disclosure is prohibited. If you are not the designated recipient, you are requested to return this email to the sender immediately and to delete all copies. MCM does not represent or warrant the accuracy of and will not be responsible for the consequences of reliance upon any opinion or information contained herein.

## Message

**From:** GOODWIN, Richard (CH Volcafe) [RGOODWIN@volcafe.ch]  
**Sent:** 09/05/2014 14:47:32  
**To:** Chris Henstock (Capital Markets) (chenstock@edfmpt.ae) [chenstock@edfmpt.ae]  
**CC:** MINA, Sara (Capital Markets) [smina@edfmancapital.com]  
**Subject:** Confirm 2,500,000 COLOB DC T+3  
**Attachments:** 09052014COLOBDC(EDFDubai3).pdf

Chris:

**Volcafe Cash Equity Confirmation**

<b>Trade Date</b>	09-May
<b>Value Date</b>	14-May
<b>ED&amp;F MCM obo ED&amp;F Man Professional Trading Dubai</b>	<b>BUYS</b>
<b>Trader</b>	CHRIS HENSTOCK
<b>Stock</b>	<b>COLOPLAST-B</b>
	COLOB DC Equity
<b>ISIN</b>	DK0060448595
<b>Number Shares</b>	2,500,000
<b>Splits</b>	5 x 500,000
<b>CCY</b>	DKK
<b>Gross Price</b>	472.40000
<b>Total Notional</b>	1,181,000,000.00
<b>Net Price</b>	<b>472.40591</b>
<b>Total Net Notional</b>	1,181,014,775.00
<b>Invoice at month End</b>	NA
<b>Brokerage</b>	14,775.00 DKK

**Additional information:** **Clearing JP Morgan**

**Volcafe Clearing** ICBC

Best regards,

Richard Goodwin.



Volcafe Ltd.  
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[rgoodwin@volcafe.ch](mailto:rgoodwin@volcafe.ch) | [www.volcafe.com](http://www.volcafe.com)

Message

**From:** Foster, Victoria (LDN) [vfoster@edfmancapital.com]  
**Sent:** 09/05/2014 14:53:48  
**To:** Henstock, Chris (DUB) [chenstock@edfmpt.ae]; Mina, Sara (LDN) [smina@edfmancapital.com]  
**Subject:** RE: Trade  
**Attachments:** Vic, To Confirm (2.86 KB).msg

Confirm attached Chris

thanks

---

**From:** Henstock, Chris (DUB)  
**Sent:** 09 May 2014 15:20  
**To:** Foster, Victoria (LDN); Mina, Sara (LDN)  
**Subject:** Trade

Hi Vic,

I am looking to BUY up to 1,800,000shs COLOB DC today, T+3, can you help? Ideally looking to trade at Dkk 472.52 if possible?

Regards,

Chris.

Chris Henstock  
Equity Finance Trader

E D & F Man Professional Trading (Dubai) Limited

Dubai International Financial Centre  
Office 14, Level 15, The Gate, P.O. Box 121208, Dubai, UAE  
Direct: +971 4 401 9676 | Mobile: +971 (0) 527 275463

[chenstock@edfmpt.ae](mailto:chenstock@edfmpt.ae) | [www.edfman.com](http://www.edfman.com)

Message

**From:** Victoria Foster (E D & F MAN CAPITAL) [vfoster4@bloomberg.net]  
**Sent:** 09/05/2014 14:52:30  
**Subject:** Vic, To Confirm

--- Original Sender: DARYL COLLYER, CANTOR FITZGERALD EU ---

Vic, To Confirm

ED&F Man BUYS the following T+3 Vd 14th

COLOB DC 900,000shs @ DKK 472.52

COLOB DC 900,000shs @ DKK 472.52 \*\* Net Px 472.53181

CFE will MiFID report using otc rules

Many thanks for the trade.

Daryl

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coloplast A/S

COLOB DC

ISIN: DK0060448595

SEDOL: B8FMRX8

COUNTRY: DK

EX DATE: 09/05/2014

REC DATE: 13/05/2014

PAY DATE: 14/05/2014

CCY: DKK

GROSS RATE: 4.00

FEE: 0.00

## GENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEivable	CLAIM?	NOTES
AMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	2,850,000		8,322,000.00			
W0000-CONST	DW CONSTRUCTION INC RETIREMENT PLAN	73%	1,300,000		3,796,000.00			
EDLOG-FEDLO	FEDERATED LOGISTICS 401(K) PLAN	73%	1,300,000		3,796,000.00			
AMLYN-LLP00	HAMLYN LP	73%	3,000,000		8,760,000.00			
AMCOO-INVES	KAMCO INVESTMENTS INC PENSION PLAN	73%	1,300,000		3,796,000.00			
NOEN-ADBPL	Linden Associates Defined Benefit Plan	73%	850,000		2,482,000.00			
VERS-ASSOC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	73%	850,000		2,482,000.00			
VETER-TVETE	Tveter LLC Pension Plan	73%	315,000		335,800.00			
JNRIS-BRKRS	Sunrise Brokers LLP	73%		-11,565,000		-33,769,800.00		
DFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-11,565,000		-33,769,800.00		
JNRIS-BRKRS	Sunrise Brokers LLP	73%	11,565,000		33,769,800.00			
		TOTALS	23,130,000	-23,130,000	TOTALS	67,539,600.00	-67,539,600.00	

D1-290273

## SECURITIES LENDING POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEivable	CLAIM?	NET STK LOAN AMNT	PYMNT V/D	PYMNT IPNL NO
JUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	55,100		220,400.00					
JBXBE-00000	Cubix Managers Limited Partnerships	100%	500,000		2,000,000.00					
OLLEN-FUNDO	POLLEN FUND LIMITED	100%	15,216,000		60,864,000.00					
EWLLC-TEW00	Tew Enterprises LLC Retirement Plan	100%	96,500		386,000.00					
JTDPA-AUTDP	Autoparts Pensions Group Trust	100%		-3,622,900			-24,491,600.00			
JUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%		-3,640,200			-34,560,800.00			
ASTPE-GRPTR	Casting Pensions Group Trust	100%		-254,000			-1,016,000.00			
TECHD-00000	Central Technologies Pensions Group Trust	100%		-342,600			-1,371,200.00			
JBXBE-SLOAN	Cubix Managers Limited Partnerships - SLOAN	100%		-500,000			-2,000,000.00			
3DUST-PENGP	Industrial Pension Group Trust	100%		-3,582,800			-14,731,200.00			
EWLHD-SVHOL	SV Holdings LLC Retirement Plan	100%		-332,700			-1,330,800.00			
EWLPO-TEWLP	Tew LP Retirement Plan	100%		-3,492,300			-13,968,800.00			
		SL TOTALS	15,867,600	-15,867,600	TOTALS	63,470,400.00	-63,470,400.00			

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEivable
PXCP-BLOAN	Apex Capital Limited - BLOAN	73%	500,000		1,460,000.00	

PEX00-CPLTD	Apex Capital Limited	73%	-500,000	-1,460,000.00
			<b>SWP TOTALS</b>	<b>500,000</b> <b>-500,000</b>
				<b>TOTALS</b> <b>1,460,000.00</b> <b>-1,460,000.00</b>
PARED:		DESK SIGN OFF:	<i>300</i> <i>M</i>	OPS MANAGER SIGN OFF: <i>J. McCallum</i>
INT CASH RECEIVED	<i>N/A</i>	CLAIMS PAID	<i>N/A</i>	FILE CLOSED
		D1 JOURNAL POSTED	<i>J</i>	CLOSER: <i>MM</i>
			JOURNAL NUMBERS UPDATED	
			DATE: <i>15/05/14</i>	